

P24968_P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

13281 US PTO
2022/04

Attorney Docket No.	P24968	Total Pages	
Inventor(s) or Application Identifier			
Hidenori WADA, Keiichi MATSUAKI and Hideki HAYASHI			Title: OPTICAL HEAD AND OPTICAL RECORDING AND REPRODUCING APPARATUS

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

U.S. PTO
22/82 10/787100
022/704

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small>		9. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Small Entity Statement(s) 		10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
3. <input checked="" type="checkbox"/> Specification <small>[Total Pages <u>37</u>] (Preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Description of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney	
4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>7</u>]		12. <input type="checkbox"/> English Translation Document (if applicable)	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>4</u>] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 20 completed)</small> <small>[Note Box 6 below]</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) <small>Any claim directly dependent on the prior application or any drawing attached thereto which is copied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>		14. <input type="checkbox"/> Preliminary Amendment	
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u>	
21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <small>Prior application information: Examiner: _____</small>		17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s) 	
		18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small>	
		19. <input checked="" type="checkbox"/> Assignee: <u>Matsushita Electric Industrial Co., Ltd.</u> <small>of Osaka, Japan</small>	
		20. <input checked="" type="checkbox"/> Other: <u>Cover Letter Filed Under 37 C.F.R. 1.53(b) & (f)</u>	
<hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/>			

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

8/27/04
Date


Signature 33329
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name



FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$986.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Hidenori WADA et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

METHOD OF PAYMENT (check one)

FEES CALCULATION (continued)

- The U.S Patent and Trademark Office is hereby authorized to change indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future

reply requesting a petition for extension of time for its timely submission (37 CFR 1.16(a)(3)) See 37 CFR 1.27.

- Payment Enclosed:

Check Credit Card Money Order Other

FEES CALCULATION (fees effective 10/01/03)

I. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
1001 770	2001 385		Utility filing fee	770.00
1002 340	2002 170		Design filing fee	
1003 530	2003 265		Plant filing fee	
1004 770	2004 385		Reissue filing fee	
1005 160	2005 80		Provisional filing fee	

SUBTOTAL (1) (\$) 770.00

2. CLAIMS

Total Claims	-20-	Extra claims from below	=	Fee Paid
Independent	1	x 12	=	120
Claims	3	x 0	=	0
Multiple Dependent Claims	0	x 290	=	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description
1202 18	2202 9		Claims in excess of 20
1201 86	2201 43		Independent claims in excess of 3
1203 290	2203 145		Multiple dependent claims
1204 86	2204 43		Reissues of independent claims over original patent
1205 18	2205 9		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 216.00

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65		Surcharge - late filing fee or oath	
1052 50	2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130		No-English specification	
1812 2,520	1812 2,520		For filing a request for reexamination	
1804 920*	1804 920*		Request for reexamination of SIR	
1805 1,840*	1805 1,840*		Prior to Examiner action	
			Requesting publication of SIR after Examiner action	
1251 110	2251 55		Extension for response within 1st month	
1252 420	2252 210		Extension for response within 2nd month	
1253 640	2253 475		Extension for response within 3rd month	
1254 1,480	2254 740		Extension for response within 4th month	
1255 2,010	2255 1,005		Extension for response within 5th month	
1401 330	2401 165		New filing fee	
1402 330	2402 165		Filing a brief in support of an appeal	
1403 290	2403 145		Request for oral hearing	
1451 1,510	1451 1,510		Petition to institute a public use proceeding	
1452 110	2452 55		Petition to revive unavoidably abandoned application	
1453 1,330	2453 665		Petition to revive unintentionally abandoned application	
1501 1,230	2501 665		Utility issue fee (or reissue)	
1502 480	2502 240		Design issue fee	
1503 640	2503 320		Plant issue fee	
1460 130	1460 130		Petitions to the Commissioner	
1807 50	1807 50		Petitions related to provisional applications	
1806 180	1806 180		Submission of IDS	
8021 40	8021 40		Recording each patent assignment per property (time number of properties)	
1809 770	2809 385		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385		For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385		Request for Continued Examination (RCE)	
1802 900	1802 900		Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature		Date	3/27/04 33,329

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hidenori WADA et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For : OPTICAL HEAD AND OPTICAL RECORDING AND REPRODUCING APPARATUS

**COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION
FILED UNDER 37 C.F.R. 1.53(b) and 1.53(f)**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b) and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191

The above-identified application includes:

- 37 pages of specification with abstract.
- 7 sheets of drawings with 8 figures.
- 32 total claims and 1 independent.
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Hidenori WADA et al.


Bruce H. Bernstein
Reg. No. 29,027

February 27, 2004
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